



**MAIL STOP PCT**

IFW

Docket No. 293592US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Masako NAKAZAWA, et al.

SERIAL NO: 10/586,879

GAU:

FILED: July 21, 2006

EXAMINER:

FOR: AQUEOUS SOLUTION PREPARATION CONTAINING CAMPTOTHECINS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		PATENT NO. 293592US0PCT		SERIAL NO. 10/586,879	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Masako NAKAZAWA, et al.			
				FILING DATE July 21, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	3 4077	01-22-91	JP (with English abstract and equivalent of US 4 604 463)		NO	
	AP	62 47193	10-06-87	JP (with English abstract and equivalent of US 4 545 880 and US 4 473 692)		NO	
	AQ	7 277981	10-24-95	JP (with English abstract)		NO	
	AR	10 17472	01-20-98	JP (with English abstract)		NO	
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No: 10/586,879

Filed: July 21, 2006

Masako NAKAZAWA, et al.

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#### STATEMENT OF RELEVANCY

- 1) Referenc AO has been cited in the International Search Report. A copy of this reference is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AP - AR are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

	Docket No.: 293592US0PCT	Serial No.: 10/586,879
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Masako NAKAZAWA, et al.	
	Filing Date: July 21, 2006	Group:

**LIST OF RELATED CASES**

Examiner <u>Initial</u>	<u>Docket No.</u>	Serial or <u>Patent</u> <u>Number</u>	Filing or <u>Issue Date</u>	Patent App. <u>Publication No.</u>	Inventor or <u>Applicant</u>
	293592US0PCT*	10/586,879	07/21/06		NAKAZAWA, et al.
	271000US0	11/107,881	04/18/05		NAKAZAWA, et al.
<div>Examiner</div> <div>Date Considered</div>					
<p>*Present Application; listed for information NFO/sbc/kch</p>					